

FISCAL YEAR 2012, Quarter Ending 3/31/12

CHARGES, FINDINGS, CCA WITH VIOLATION, AND CCA WITHOUT VIOLATION*

BOARD	OCCUPATION	CATEGORY OF ALLEGATION				TOTAL # of ALLEGATIONS	
			NO VIOLATION	VIOLATION	UNDETERMINED		
Counseling	Certified Substance Abuse Counselor	01 Inability to Safely Practice		2		2	
		02 Drug Related, Patient Care		2		2	
		03 Abuse/Abandonment/Neglect			1	1	
		05 Std of Care, Diagnosis/Treatment	1			1	
		10 Inappropriate Relationship			1	1	
		99 HIPDB		2		2	
	Certified Substance Abuse Counselor Total			1	6	2	9
	Licensed Marriage and Family Therapist	10 Inappropriate Relationship			16		16
		50 Criminal Activity	1			1	
		53 Fraud, Non-Patient Care	1			1	
		58 Confidentiality Breach		16		16	
		62 Action by Another Board, NPC	1			1	
		99 HIPDB		16		16	
	Licensed Marriage and Family Therapist Total			3	48		51
	Licensed Professional Counselor	03 Abuse/Abandonment/Neglect	1		1	2	
		05 Std of Care, Diagnosis/Treatment	2			2	
		08 Std of Care, Exceeding Scope			1	1	
10 Inappropriate Relationship			17		17		
11 Unlicensed Activity				1	1		
13 Fraud, Patient Care				2	2		
53 Fraud, Non-Patient Care				1	1		
54 Business Practice Issues		3		2	5		
58 Confidentiality Breach			16	1	17		
61 Records Release				1	1		
99 HIPDB			17		17		
Licensed Professional Counselor Total			6	50	10	66	
Post Graduate Trainee	56 Compliance	1			1		
Post Graduate Trainee Total			1			1	
Substance Abuse Treatment Practitioner	53 Fraud, Non-Patient Care	1			1		
Substance Abuse Treatment Practitioner Total			1			1	
Counseling Total			12	104	12	128	
Dentistry	Cosmetic Procedure Certification	56 Compliance		3		3	
		99 HIPDB		3		3	
	Cosmetic Procedure Certification Total				6		6
	Dental Hygienist	03 Abuse/Abandonment/Neglect		2		2	
		11 Unlicensed Activity		6		6	
		99 HIPDB		6		6	
Dental Hygienist Total				14	1	15	
Dentist	01 Inability to Safely Practice	1	4		5		

BOARD	OCCUPATION	CATEGORY OF ALLEGATION					TOTAL # of ALLEGATIONS
			NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	
Dentistry	Dentist	02 Drug Related, Patient Care		4	1		5
		03 Abuse/Abandonment/Neglect	21	10	3	2	36
		04 Std of Care, Surgery	2				2
		05 Std of Care, Diagnosis/Treatment	29	13	3	4	49
		07 Std of Care, Malpractice Reports	3	2		1	6
		09 Std of Care, Other		3			3
		11 Unlicensed Activity	1	1		11	13
		13 Fraud, Patient Care	2	6			8
		50 Criminal Activity		1			1
		53 Fraud, Non-Patient Care	3	2			5
		54 Business Practice Issues	22	3	2	6	33
		56 Compliance	2	5			7
		58 Confidentiality Breach	1			1	2
		99 HIPDB		32			32
		Dentist Total			87	86	9
	Oral/Maxillofacial Surgeon Registration	56 Compliance		3			3
		99 HIPDB		3			3
Oral/Maxillofacial Surgeon Registration Total				6			6
	Temporary Resident	53 Fraud, Non-Patient Care	1				1
Temporary Resident Total			1				1
Dentistry Total			88	112	9	26	235
Funeral Directing	Funeral Establishment	53 Fraud, Non-Patient Care	1				1
		54 Business Practice Issues	4	1	1		6
	Funeral Establishment Total			5	1	1	7
	Funeral Service Provider	01 Inability to Safely Practice				1	1
		03 Abuse/Abandonment/Neglect		4	1		5
		05 Std of Care, Diagnosis/Treatment		2			2
		11 Unlicensed Activity	1				1
		13 Fraud, Patient Care	1			1	2
		53 Fraud, Non-Patient Care		11	3		14
		54 Business Practice Issues	3	13	5		21
56 Compliance		1			1		
Funeral Service Provider Total			5	31	11	47	
Funeral Directing Total			10	32	12	54	
Long-Term Care Administrators	Assisted Living Facility Administrator	02 Drug Related, Patient Care			1		1
		03 Abuse/Abandonment/Neglect	2	4		1	7
		05 Std of Care, Diagnosis/Treatment		2			2
		06 Std of Care, Medication/Prescription		2			2
		11 Unlicensed Activity				1	1
		13 Fraud, Patient Care	1				1
		50 Criminal Activity			1		1
		54 Business Practice Issues	2		1		3
		99 HIPDB		4			4

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS	
Long-Term Care Administrators	Assisted Living Facility Administrator Total		5	12	3	2	22	
	Nursing Home Administrator	03 Abuse/Abandonment/Neglect	3				3	
		11 Unlicensed Activity	1				1	
		54 Business Practice Issues	10		1		11	
Nursing Home Administrator Total		14		1		15		
Long-Term Care Administrators Total			19	12	4	2	37	
Medicine	Chiropractor	03 Abuse/Abandonment/Neglect			1		1	
		05 Std of Care, Diagnosis/Treatment			4	1	5	
		08 Std of Care, Exceeding Scope			1		1	
		10 Inappropriate Relationship				1	1	
		11 Unlicensed Activity	1				1	
		13 Fraud, Patient Care			2	2	4	
		14 Action by Another Board, Patient Care			1		1	
		53 Fraud, Non-Patient Care	1		2	2	5	
		54 Business Practice Issues	1			1	2	
		99 HIPDB			7		7	
	Chiropractor Total			3	17	8		28
	Interns & Residents	01 Inability to Safely Practice				1		1
		02 Drug Related, Patient Care			2			2
		05 Std of Care, Diagnosis/Treatment				1		1
		99 HIPDB			2			2
	Interns & Residents Total				4	2		6
	Licensed Midwife	03 Abuse/Abandonment/Neglect			7	1		8
		05 Std of Care, Diagnosis/Treatment			7			7
		99 HIPDB			7			7
	Licensed Midwife Total				21	1		22
	Limited Radiologic Technologist	03 Abuse/Abandonment/Neglect				2		2
		04 Std of Care, Surgery				1		1
10 Inappropriate Relationship					1		1	
Limited Radiologic Technologist Total					4		4	
Medicine & Surgery	01 Inability to Safely Practice		2	6	3		11	
	02 Drug Related, Patient Care		2	17	4		23	
	03 Abuse/Abandonment/Neglect		12	9	71	1	93	
	04 Std of Care, Surgery		7	3	38		48	
	05 Std of Care, Diagnosis/Treatment		31	10	84		125	
	06 Std of Care, Medication/Prescription		7	11	10		28	
	07 Std of Care, Malpractice Reports		5	5	65		75	
	08 Std of Care, Exceeding Scope				1		1	
	09 Std of Care, Other		2		2		4	
	10 Inappropriate Relationship		1		2		3	
	11 Unlicensed Activity				1	4	5	
	13 Fraud, Patient Care		2			5	7	
	14 Action by Another Board, Patient Care				5	2	7	

BOARD	OCCUPATION	CATEGORY OF ALLEGATION				TOTAL # of ALLEGATIONS		
			NO VIOLATION	VIOLATION	UNDETERMINED		CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	
Medicine	Medicine & Surgery	50 Criminal Activity		1	4	5		
		53 Fraud, Non-Patient Care	6	1	12	19		
		54 Business Practice Issues	13		13	26		
		55 Drug Related, Security		1		1		
		58 Confidentiality Breach	2		3	5		
		61 Records Release	2		10	12		
		62 Action by Another Board, NPC		6	1	7		
		63 Reinstatement	2	3		5		
		99 HIPDB		50		50		
	Medicine & Surgery Total			96	129	334	1	560
	Occupational Therapist	11 Unlicensed Activity			2		2	
		99 HIPDB			2		2	
	Occupational Therapist Total				4		4	
	Occupational Therapy Assistant	02 Drug Related, Patient Care				1	1	
	Occupational Therapy Assistant Total					1	1	
	Osteopathy & Surgery	01 Inability to Safely Practice			2		2	
		02 Drug Related, Patient Care				1	1	
		03 Abuse/Abandonment/Neglect	2	2		6	10	
		04 Std of Care, Surgery	1			1	2	
		05 Std of Care, Diagnosis/Treatment	1	2		6	9	
		06 Std of Care, Medication/Prescription	1			2	3	
		07 Std of Care, Malpractice Reports				3	3	
		11 Unlicensed Activity			1		1	
		14 Action by Another Board, Patient Care			2		2	
		54 Business Practice Issues	1			1	2	
		61 Records Release				1	1	
	62 Action by Another Board, NPC			3		3		
	99 HIPDB			7		7		
	Osteopathy & Surgery Total			6	19	21	46	
Physician Assistant	01 Inability to Safely Practice			1	1	2		
	05 Std of Care, Diagnosis/Treatment				2	2		
	51 HPMP				1	1		
	99 HIPDB			1		1		
Physician Assistant Total				2	4	6		
Podiatry	05 Std of Care, Diagnosis/Treatment	1				1		
	61 Records Release				1	1		
Podiatry Total			1		1	2		
Radiologic Technologist	01 Inability to Safely Practice			2		2		
	02 Drug Related, Patient Care			2		2		
	03 Abuse/Abandonment/Neglect			1		1		
	11 Unlicensed Activity			10	1	11		
	99 HIPDB			13		13		
Radiologic Technologist Total				28	1	29		

BOARD	OCCUPATION	CATEGORY OF ALLEGATION					TOTAL # of ALLEGATIONS
			NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	
Medicine	Respiratory Care Practitioner	03 Abuse/Abandonment/Neglect			1	1	
		05 Std of Care, Diagnosis/Treatment		2		2	
		13 Fraud, Patient Care		2		2	
		50 Criminal Activity		1		1	
		62 Action by Another Board, NPC		1		1	
		99 HIPDB		4		4	
Respiratory Care Practitioner Total				10	1	11	
Medicine Total			106	234	378	1 719	
Nurse Aide	Nurse Aide	01 Inability to Safely Practice	3	7	7	17	
		02 Drug Related, Patient Care	2	5	2	9	
		03 Abuse/Abandonment/Neglect	15	43	16	74	
		05 Std of Care, Diagnosis/Treatment	2	5		7	
		08 Std of Care, Exceeding Scope	1	2		3	
		10 Inappropriate Relationship		1		1	
		11 Unlicensed Activity			1	1	
		12 Misappropriation of Patient Property	1	3	2	6	
		13 Fraud, Patient Care		5		5	
		50 Criminal Activity	2	2	2	6	
		51 HPMP		2		2	
		52 Drug Related, Non-Patient Care		1		1	
		56 Compliance		4	1	5	
		57 Misappropriation of Property, NPC		3		3	
		58 Confidentiality Breach		2		2	
		60 Dishonored Check		2		2	
		63 Reinstatement		5		5	
99 HIPDB			67	67			
Nurse Aide Total			26	159	31	216	
Nurse Aide Total			26	159	31	216	
Nursing	Authorization to Prescribe	03 Abuse/Abandonment/Neglect	1			1	
		05 Std of Care, Diagnosis/Treatment	1			1	
	Authorization to Prescribe Total			2			2
	Certified Massage Therapist	03 Abuse/Abandonment/Neglect		1		1	
		10 Inappropriate Relationship		2	1	3	
		11 Unlicensed Activity			2	2	
		13 Fraud, Patient Care		1		1	
		14 Action by Another Board, Patient Care		1	1	2	
		50 Criminal Activity		1	3	4	
		60 Dishonored Check		2		2	
99 HIPDB		4		4			
Certified Massage Therapist Total				12	7	19	
Licensed Nurse Practitioner		01 Inability to Safely Practice		1		1	
		02 Drug Related, Patient Care		1		1	
		03 Abuse/Abandonment/Neglect	2			2	

BOARD	OCCUPATION	CATEGORY OF ALLEGATION					TOTAL # of ALLEGATIONS	
			NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION		
Nursing	Licensed Nurse Practitioner	05 Std of Care, Diagnosis/Treatment	1				1	
		11 Unlicensed Activity		6			6	
		13 Fraud, Patient Care			6		6	
		14 Action by Another Board, Patient Care			1		1	
		50 Criminal Activity	1	2			3	
		53 Fraud, Non-Patient Care			6		6	
		54 Business Practice Issues	1				1	
		56 Compliance		6			6	
		63 Reinstatement			7		7	
	99 HIPDB			16		16		
	Licensed Nurse Practitioner Total			5	52			57
	Licensed Practical Nurse		01 Inability to Safely Practice	4	16	7		27
			02 Drug Related, Patient Care	8	22	11		41
			03 Abuse/Abandonment/Neglect	17	15	2	1	35
			05 Std of Care, Diagnosis/Treatment	8	14	1		23
			06 Std of Care, Medication/Prescription	10	19	5	1	35
			07 Std of Care, Malpractice Reports	1				1
			08 Std of Care, Exceeding Scope	4	7	1		12
			10 Inappropriate Relationship		2			2
			11 Unlicensed Activity		14	1		15
			12 Misappropriation of Patient Property	2	9	1		12
			13 Fraud, Patient Care	5	24	3		32
			14 Action by Another Board, Patient Care		3	2	1	6
			50 Criminal Activity	8	17	8		33
			51 HPMP		7			7
			52 Drug Related, Non-Patient Care	1		1		2
			53 Fraud, Non-Patient Care	2	17	3	1	23
			54 Business Practice Issues		5			5
			56 Compliance		13			13
			57 Misappropriation of Property, NPC				1	1
			58 Confidentiality Breach	2	1			3
			59 Continuing Competency Req Not Met		2			2
			60 Dishonored Check		1			1
62 Action by Another Board, NPC				2		1	3	
63 Reinstatement					15		15	
99 HIPDB			102		102			
Licensed Practical Nurse Total			72	327	48	4	451	
Registered Nurse		01 Inability to Safely Practice	23	39	17		79	
		02 Drug Related, Patient Care	10	28	26		64	
		03 Abuse/Abandonment/Neglect	40	9	5		54	
		04 Std of Care, Surgery	1	1			2	
		05 Std of Care, Diagnosis/Treatment	26	14	9		49	
		06 Std of Care, Medication/Prescription	12	6	9		27	

BOARD	OCCUPATION	CATEGORY OF ALLEGATION				TOTAL # of ALLEGATIONS	
			NO VIOLATION	VIOLATION	UNDETERMINED		CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION
Nursing	Registered Nurse	07 Std of Care, Malpractice Reports	2		1	3	
		08 Std of Care, Exceeding Scope	6	5	2	13	
		10 Inappropriate Relationship	2	1		3	
		11 Unlicensed Activity	1	11		12	
		12 Misappropriation of Patient Property	1	8	2	11	
		13 Fraud, Patient Care	12	32	4	48	
		14 Action by Another Board, Patient Care	7	10	11	28	
		50 Criminal Activity	7	22	7	36	
		51 HPMP	4	11		15	
		52 Drug Related, Non-Patient Care		4	3	7	
		53 Fraud, Non-Patient Care	5	22	5	32	
		54 Business Practice Issues	7			7	
		56 Compliance	2	28		30	
		57 Misappropriation of Property, NPC			2	2	
		58 Confidentiality Breach	4	3		7	
		60 Dishonored Check		2		2	
		62 Action by Another Board, NPC	2	3		5	
		63 Reinstatement		20		20	
		99 HIPDB		144		144	
	Registered Nurse Total			174	423	103	700
	RN by Privilege-Discipline		02 Drug Related, Patient Care		1	1	2
			03 Abuse/Abandonment/Neglect	1		1	2
			05 Std of Care, Diagnosis/Treatment	1			1
06 Std of Care, Medication/Prescription				1	1	2	
11 Unlicensed Activity			1		1	2	
13 Fraud, Patient Care				1	1	2	
14 Action by Another Board, Patient Care					1	1	
62 Action by Another Board, NPC					1	1	
RN by Privilege-Discipline Total			3	4	7	14	
Nursing Total			256	818	165	4	1243
Optometry	TPA Certified Optometrist	03 Abuse/Abandonment/Neglect	1			1	
		05 Std of Care, Diagnosis/Treatment	2			2	
		06 Std of Care, Medication/Prescription	1			1	
		53 Fraud, Non-Patient Care	2			2	
		54 Business Practice Issues	2			2	
TPA Certified Optometrist Total			8			8	
Optometry Total			8				8
Pharmacy	Non-resident Pharmacy	54 Business Practice Issues	2			2	
	Non-resident Pharmacy Total		2			2	
	Non-resident Wholesale Distributor	06 Std of Care, Medication/Prescription			3	3	
50 Criminal Activity				3	3		
54 Business Practice Issues				3	3		

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS
Pharmacy	Non-resident Wholesale Distributor Total				9		9
	Pharmacist	01 Inability to Safely Practice		1			1
		02 Drug Related, Patient Care	1				1
		03 Abuse/Abandonment/Neglect				2	2
		06 Std of Care, Medication/Prescription	4	2	2	10	18
		08 Std of Care, Exceeding Scope	1	1			2
		11 Unlicensed Activity				1	1
		13 Fraud, Patient Care			1		1
		14 Action by Another Board, Patient Care			1		1
		52 Drug Related, Non-Patient Care		2			2
		53 Fraud, Non-Patient Care				1	1
		54 Business Practice Issues	3		1	1	5
		55 Drug Related, Security			1		1
		57 Misappropriation of Property, NPC			1		1
		58 Confidentiality Breach	2			1	3
	99 HIPDB		4			4	
	Pharmacist Total		11	10	7	16	44
	Pharmacy	06 Std of Care, Medication/Prescription			3		3
		54 Business Practice Issues	2	126			128
		55 Drug Related, Security			1		1
		99 HIPDB		126			126
	Pharmacy Total		2	252	4		258
	Pharmacy Technician	02 Drug Related, Patient Care			5		5
		03 Abuse/Abandonment/Neglect				1	1
		06 Std of Care, Medication/Prescription				1	1
11 Unlicensed Activity					1	1	
12 Misappropriation of Patient Property				1		1	
50 Criminal Activity		2	1	3		6	
52 Drug Related, Non-Patient Care			1	1		2	
53 Fraud, Non-Patient Care					1	1	
54 Business Practice Issues				1		1	
57 Misappropriation of Property, NPC		1				1	
59 Continuing Competency Req Not Met		5	12	1		18	
99 HIPDB		13			13		
Pharmacy Technician Total		8	27	12	4	51	
Physician Selling Controlled Substances	02 Drug Related, Patient Care	1				1	
Physician Selling Controlled Substances Total		1				1	
Restricted Manufacturer	53 Fraud, Non-Patient Care	1				1	
Restricted Manufacturer Total		1				1	
Pharmacy Total			25	289	32	20	366
Physical Therapy	Physical Therapist	03 Abuse/Abandonment/Neglect	2				2
		05 Std of Care, Diagnosis/Treatment	2				2
		13 Fraud, Patient Care		4			4

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS
Physical Therapy	Physical Therapist	53 Fraud, Non-Patient Care	1				1
		99 HIPDB		4			4
	Physical Therapist Total		5	8			13
	Physical Therapist Assistant	03 Abuse/Abandonment/Neglect				1	1
Physical Therapist Assistant Total				1	1	1	
Physical Therapy Total			5	8	1		14
Psychology	Clinical Psychologist	05 Std of Care, Diagnosis/Treatment	1				1
		54 Business Practice Issues	3				3
		61 Records Release	1				1
Clinical Psychologist Total		5				5	
Psychology Total			5				5
Social Work	Licensed Clinical Social Worker	01 Inability to Safely Practice			2		2
		03 Abuse/Abandonment/Neglect	3				3
		05 Std of Care, Diagnosis/Treatment	6	4		3	13
		10 Inappropriate Relationship		4		1	5
		53 Fraud, Non-Patient Care	2	2			4
		54 Business Practice Issues	5	4		2	11
		58 Confidentiality Breach	1				1
		61 Records Release	1				1
		99 HIPDB			8		8
	Licensed Clinical Social Worker Total		18	24	6		48
Post Graduate Trainee	53 Fraud, Non-Patient Care				1	1	
	54 Business Practice Issues				1	1	
Post Graduate Trainee Total				2		2	
Social Work Total			18	24	8		50
Speech Pathology/Audiology	Audiologist	54 Business Practice Issues	4				4
		(blank)	1			1	
	Audiologist Total		5				5
	Speech Pathologist	11 Unlicensed Activity			4		4
		58 Confidentiality Breach				1	1
63 Reinstatement				3		3	
99 HIPDB				7		7	
Speech Pathologist Total		2	14	1		17	
Speech Pathology/Audiology Total			7	14	1		22
Veterinary Medicine	Veterinarian	03 Abuse/Abandonment/Neglect	11	7	4	1	23
		05 Std of Care, Diagnosis/Treatment	14	5	4	1	24
		06 Std of Care, Medication/Prescription	2			1	3
		11 Unlicensed Activity	1			1	2
		50 Criminal Activity	1				1
		54 Business Practice Issues	5	1		1	7
		56 Compliance	2				2
		59 Continuing Competency Req Not Met				1	1

BOARD	OCCUPATION	CATEGORY OF ALLEGATION					TOTAL # of ALLEGATIONS	
			NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION		
Veterinary Medicine	Veterinarian	61 Records Release			1		1	
	Veterinarian Total		36	13	10	6	65	
	Veterinary Establishment - Full Service	11 Unlicensed Activity	1				1	
	Veterinary Establishment - Full Service Total		1				1	
	Veterinary Technician		01 Inability to Safely Practice			1		1
			02 Drug Related, Patient Care			1		1
			11 Unlicensed Activity		2			2
			(blank)			1		1
	Veterinary Technician Total			2	3		5	
Veterinary Medicine Total			37	15	13	6	71	
Grand Total			622	1821	666	59	3168	