



Department of Health Professions

DIRECTOR'S POLICY # 76-4.1

Receipt and Investigation of Allegations

Effective Date: October 20, 2010

Approved By: *Dianne Reynolds-Cane, MD*
Dianne Reynolds-Cane, M.D., Director

76-4.1 Receipt and Investigation of Allegations of Misconduct

Purpose: Assure the appropriate handling of allegations of misconduct against licensees.

Policy: Allegations of violations of applicable law or regulations shall be received and logged in by the Department's Division of Enforcement.

Only sworn personnel duly authorized by the Director pursuant to § 54.1-2506 of the Code of Virginia may conduct investigations of alleged violations involving the Department of Health Professions (Department).

Procedure: Information received within the Department indicating a violation shall be referred to the Enforcement Division as soon as possible. This shall be interpreted broadly to include any information which may indicate a violation; including, but not limited to newspaper articles, media reports, consumer complaints, and reports from Board members.

It shall be the duty of Enforcement to initially determine if the matter warrants investigation.

Matters which do not warrant investigation shall be referred, in writing, to the appropriate Board. If the Board determines that the matter requires investigation, the case shall be returned to the Enforcement Division along with an explanation of the Board's recommendation. Upon receipt of the Board's recommendation, the Case Intake Unit will proceed with initiating investigation of the alleged misconduct.