

FISCAL YEAR 2012, Quarter Ending 12/31/11

CHARGES, FINDINGS, CCA WITH VIOLATION, AND CCA WITHOUT VIOLATION*

FINAL DISPOSITION

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS	
Counseling	Certified Substance Abuse Counselor	10 Inappropriate Relationship		4			4	
		99 HIPDB		4			4	
	Certified Substance Abuse Counselor Total			8			8	
	Licensed Professional Counselor	01 Inability to Safely Practice	1					1
		10 Inappropriate Relationship			2			2
		50 Criminal Activity				1		1
		52 Drug Related, Non-Patient Care				1		1
		54 Business Practice Issues	1					1
		61 Records Release	2					2
	Licensed Professional Counselor Total	99 HIPDB			2			2
				4	4	2		10
	Post Graduate Trainee	11 Unlicensed Activity				2		2
		61 Records Release				1		1
	Post Graduate Trainee Total					3		3
	Rehabilitation Provider	10 Inappropriate Relationship			2			2
99 HIPDB				2			2	
Rehabilitation Provider Total				4			4	
Counseling Total			4	16	5		25	
Dentistry	Cosmetic Procedure Certification	54 Business Practice Issues	2			1	3	
			2			1	3	
	Cosmetic Procedure Certification Total							
	Dental Hygienist	11 Unlicensed Activity	3				3	
	Dental Hygienist Total			3			3	
	Dentist	01 Inability to Safely Practice	1					1
		02 Drug Related, Patient Care					1	1
03 Abuse/Abandonment/Neglect		13	3	4	1		21	
04 Std of Care, Surgery		2	3	2			7	
Dentist Total		21	8	5	4		38	

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS
	Dentist	07 Std of Care, Malpractice Report	1			1	2
		09 Std of Care, Other		3			3
		11 Unlicensed Activity	3		1	9	13
		13 Fraud, Patient Care	2	5	3		10
		53 Fraud, Non-Patient Care	3	5			8
		54 Business Practice Issues	23	2	1	1	27
		59 Continuing Competency Req Nc	1				1
		61 Records Release	1			1	2
		63 Reinstatement		3			3
		99 HIPDB		22			22
	Dentist Total		71	54	16	18	159
	Oral/Maxillofacial Surgeon Registratic	03 Abuse/Abandonment/Neglect	1				1
		05 Std of Care, Diagnosis/Treatme	1				1
		54 Business Practice Issues		2			2
		99 HIPDB		2			2
	Oral/Maxillofacial Surgeon Registration Total		2	4			6
Dentistry Total			78	58	17	18	171
Funeral	Funeral Establishment	54 Business Practice Issues	1				1
	Funeral Establishment Total		1				1
	Funeral Service Provider	05 Std of Care, Diagnosis/Treatme	1				1
		50 Criminal Activity		1			1
		53 Fraud, Non-Patient Care		1			1
		54 Business Practice Issues	1	5	1		7
		59 Continuing Competency Req Not Met		1			1
	Funeral Service Provider Total		2	8	1		11
	Funeral Supervisor	50 Criminal Activity		1			1
	Funeral Supervisor Total			1			1
Funeral Total			3	9	1		13
Long Term Care Ad	ALF-Administrator-In-Training	03 Abuse/Abandonment/Neglect			1		1
		05 Std of Care, Diagnosis/Treatment			1		1
		54 Business Practice Issues			1		1
	ALF-Administrator-In-Training Total				3		3
	Assisted Living Facility Administrator	02 Drug Related, Patient Care			1		1

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS		
Long Term Care Ad	Assisted Living Facility Administrator	03 Abuse/Abandonment/Neglect		2		1	3		
		05 Std of Care, Diagnosis/Treatment		2			2		
		06 Std of Care, Medication/Prescription		2			2		
		10 Inappropriate Relationship	1				1		
		12 Misappropriation of Patient Prc	1				1		
		13 Fraud, Patient Care	1		1		2		
		50 Criminal Activity		1	2		3		
		52 Drug Related, Non-Patient Care			1		1		
		53 Fraud, Non-Patient Care			1		1		
		54 Business Practice Issues			1		1		
		99 HIPDB		3			3		
		Assisted Living Facility Administrator Total			3	10	7	1	21
		Nursing Home Administrator		03 Abuse/Abandonment/Neglect				1	1
				06 Std of Care, Medication/Prescription				1	1
13 Fraud, Patient Care						1	1		
Nursing Home Administrator Total					3	3			
Long Term Care Administrator Total			3	10	13	1	27		
Medicine	Athletic Trainer	05 Std of Care, Diagnosis/Treatme	1				1		
		60 Dishonored Check	1				1		
		99 HIPDB	1				1		
	Athletic Trainer Total			3				3	
	Chiropractor		01 Inability to Safely Practice				1	1	
			03 Abuse/Abandonment/Neglect	1			2	3	
			05 Std of Care, Diagnosis/Treatment				2	2	
			08 Std of Care, Exceeding Scope				1	1	
			10 Inappropriate Relationship				1	1	
			11 Unlicensed Activity		5	1		6	
			13 Fraud, Patient Care	1				1	
			50 Criminal Activity		2	1		3	
			53 Fraud, Non-Patient Care		2	3		5	
			54 Business Practice Issues	2	3	1		6	
56 Compliance				3			3		
58 Confidentiality Breach				1	1				

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS
	Chiropractor	62 Action by Another Board, NPC		1		1	2
		63 Reinstatement		6			6
		99 HIPDB		17			17
	Chiropractor Total		4	39		15	58
	Interns & Residents	01 Inability to Safely Practice				2	2
		02 Drug Related, Patient Care				1	1
		05 Std of Care, Diagnosis/Treatment				1	1
		13 Fraud, Patient Care				1	1
		53 Fraud, Non-Patient Care				1	1
		54 Business Practice Issues	1				1
	Interns & Residents Total		1			6	7
	Licensed Midwife	03 Abuse/Abandonment/Neglect				1	1
		05 Std of Care, Diagnosis/Treatment				1	1
	Licensed Midwife Total					2	2
	Medicine & Surgery	01 Inability to Safely Practice		11		4	15
		02 Drug Related, Patient Care	6	15		7	28
		03 Abuse/Abandonment/Neglect	22	16		36	74
		04 Std of Care, Surgery	18	2		15	35
		05 Std of Care, Diagnosis/Treatme	53	17		63	133
		06 Std of Care, Medication/Prescri	18	11		29	58
		07 Std of Care, Malpractice Report	5	5		15	25
		09 Std of Care, Other	1	2			3
		10 Inappropriate Relationship		6		4	10
		11 Unlicensed Activity	1	8		1	10
		13 Fraud, Patient Care	2	3		6	11
		14 Action by Another Board, Patient Care		2		1	3
		50 Criminal Activity		2		2	4
		51 HPMP		2			2
		53 Fraud, Non-Patient Care	7			9	16
		54 Business Practice Issues	13			19	32
		56 Compliance	1	2			3
		58 Confidentiality Breach	2			4	6
		59 Continuing Competency Req Nc	1				1

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS
	Medicine & Surgery	61 Records Release	2			6	8
		62 Action by Another Board, NPC				2	2
		63 Reinstatement	1	3		1	5
		99 HIPDB			62		62
		(blank)	1			1	2
	Medicine & Surgery Total		154	169	225		548
	Occupational Therapy Assistant	01 Inability to Safely Practice		2			2
		56 Compliance		2			2
		99 HIPDB			2		2
	Occupational Therapy Assistant Total			6			6
	Osteopathy & Surgery	03 Abuse/Abandonment/Neglect	2			1	3
		05 Std of Care, Diagnosis/Treatme	3	2		3	8
		06 Std of Care, Medication/Prescription				1	1
		50 Criminal Activity			1	1	2
		53 Fraud, Non-Patient Care				2	2
		54 Business Practice Issues	1				1
		63 Reinstatement			1		1
		99 HIPDB			4		4
	Osteopathy & Surgery Total		6	8	8		22
	Physician Assistant	03 Abuse/Abandonment/Neglect		2			2
		05 Std of Care, Diagnosis/Treatment			5	3	8
		06 Std of Care, Medication/Prescription			3	1	4
		07 Std of Care, Malpractice Reports			4		4
		13 Fraud, Patient Care	1				1
		53 Fraud, Non-Patient Care				2	2
		58 Confidentiality Breach	2				2
		63 Reinstatement	1				1
		99 HIPDB			5		5
	Physician Assistant Total		4	19	6		29
	Podiatry	05 Std of Care, Diagnosis/Treatment				1	1
		11 Unlicensed Activity				1	1
		51 HPMP				1	1
		53 Fraud, Non-Patient Care				2	2

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS
	Podiatry	56 Compliance				1	1
	Podiatry Total					6	6
	Radiologic Technologist	11 Unlicensed Activity	1	4			5
		99 HIPDB		4			4
	Radiologic Technologist Total		1	8			9
	Respiratory Care Practitioner	01 Inability to Safely Practice		5	1		6
		02 Drug Related, Patient Care		3			3
		50 Criminal Activity		3			3
		51 HPMP		2			2
		99 HIPDB		5			5
	Respiratory Care Practitioner Total			18	1		19
	Medicine Total		173	267	269		709
Nurse Aide	Nurse Aide	01 Inability to Safely Practice	3	7	3		13
		02 Drug Related, Patient Care	2	5	7		14
		03 Abuse/Abandonment/Neglect	19	34	14		67
		05 Std of Care, Diagnosis/Treatme	3				3
		08 Std of Care, Exceeding Scope		1	2		3
		10 Inappropriate Relationship	1	1	1		3
		11 Unlicensed Activity			3		3
		12 Misappropriation of Patient Prc	2	10	1		13
		13 Fraud, Patient Care		3	1		4
		50 Criminal Activity	3	4	1		8
		51 HPMP	1	4			5
		52 Drug Related, Non-Patient Care			1		1
		53 Fraud, Non-Patient Care		5	1		6
		56 Compliance	1	7			8
		58 Confidentiality Breach		1			1
		60 Dishonored Check	1	9			10
		63 Reinstatement	1				1
		99 HIPDB	1	63			64
	Nurse Aide Total		38	154	35		227
Nurse Aide Total			38	154	35		227
Nursing	Authorization to Prescribe	08 Std of Care, Exceeding Scope		3			3

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS		
Nursing	Authorization to Prescribe	58 Confidentiality Breach		6			6		
		99 HIPDB		9			9		
	Authorization to Prescribe Total			18			18		
	Certified Massage Therapist	Certified Massage Therapist	01 Inability to Safely Practice		2			2	
			03 Abuse/Abandonment/Neglect		5	1		6	
			08 Std of Care, Exceeding Scope				1		1
			10 Inappropriate Relationship		6		1		7
			11 Unlicensed Activity				1		1
			13 Fraud, Patient Care				1		1
			14 Action by Another Board, Patient Care				1		1
			50 Criminal Activity		3				3
			62 Action by Another Board, NPC		2				2
			63 Reinstatement		2				2
			99 HIPDB		8				8
	Certified Massage Therapist Total			28	6		34		
	Licensed Nurse Practitioner	Licensed Nurse Practitioner	01 Inability to Safely Practice		8			8	
			02 Drug Related, Patient Care		6	1		7	
			05 Std of Care, Diagnosis/Treatme	2				2	
			06 Std of Care, Medication/Prescription				1		1
			08 Std of Care, Exceeding Scope		3			3	
			14 Action by Another Board, Patient Care		2			2	
			51 HPMP		12			12	
			54 Business Practice Issues	1				1	
			56 Compliance		8			8	
			58 Confidentiality Breach		6			6	
			59 Continuing Competency Req Not Met					1	1
			99 HIPDB		23				23
Licensed Nurse Practitioner Total				3	68	2	1	74	
Licensed Practical Nurse	Licensed Practical Nurse	01 Inability to Safely Practice	2	23	5		30		
		02 Drug Related, Patient Care	4	21	9		34		
		03 Abuse/Abandonment/Neglect	4	18	3	1	26		
		04 Std of Care, Surgery	1				1		
		05 Std of Care, Diagnosis/Treatme	4	12	2		18		

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS
	Licensed Practical Nurse	06 Std of Care, Medication/Prescri	2	12		2	16
		08 Std of Care, Exceeding Scope	1	6			7
		10 Inappropriate Relationship		1			1
		11 Unlicensed Activity	1	3	1		5
		12 Misappropriation of Patient Prc	2	4	4		10
		13 Fraud, Patient Care		23	3		26
		14 Action by Another Board, Patient Care		5	4		9
		50 Criminal Activity	5	20	4		29
		51 HPMP		4			4
		52 Drug Related, Non-Patient Care		4			4
		53 Fraud, Non-Patient Care		8	2		10
		56 Compliance		3			3
		57 Misappropriation of Property, NPC			1		1
		58 Confidentiality Breach		1			1
		62 Action by Another Board, NPC			1		1
		63 Reinstatement		8			8
		99 HIPDB		80		1	81
	Licensed Practical Nurse Total		26	256	41	2	325
	LPN by Privilege-Discipline	01 Inability to Safely Practice		1			1
		02 Drug Related, Patient Care		1			1
		13 Fraud, Patient Care		1			1
		50 Criminal Activity		2			2
		99 HIPDB		2			2
	LPN by Privilege-Discipline Total			7			7
	Medication Aide	01 Inability to Safely Practice		1			1
		02 Drug Related, Patient Care		1	4		5
		03 Abuse/Abandonment/Neglect		4			4
		06 Std of Care, Medication/Prescription		1	1		2
		10 Inappropriate Relationship		1			1
		12 Misappropriation of Patient Property		1			1
		13 Fraud, Patient Care		1	1		2
		50 Criminal Activity		1	3		4
		52 Drug Related, Non-Patient Care			2		2

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS
	Medication Aide	56 Compliance	1				1
		60 Dishonored Check		2			2
		99 HIPDB		9			9
	Medication Aide Total		1	22	11		34
	Registered Nurse	01 Inability to Safely Practice	11	29	24		64
		02 Drug Related, Patient Care	6	19	24		49
		03 Abuse/Abandonment/Neglect	19	16	2		37
		04 Std of Care, Surgery	1				1
		05 Std of Care, Diagnosis/Treatme	16	10		1	27
		06 Std of Care, Medication/Prescri	8	3	2		13
		07 Std of Care, Malpractice Reports				1	1
		08 Std of Care, Exceeding Scope	3	4			7
		09 Std of Care, Other	1				1
		10 Inappropriate Relationship	2	1			3
		11 Unlicensed Activity	1	3			4
		12 Misappropriation of Patient Prc	2		2		4
		13 Fraud, Patient Care	5	9	7		21
		14 Action by Another Board, Patiei	5	14	8		27
		50 Criminal Activity	6	14	5		25
		51 HPMP	2	21			23
		52 Drug Related, Non-Patient Care			1		1
		53 Fraud, Non-Patient Care	4	7	6		17
		54 Business Practice Issues	2				2
		56 Compliance	1	18	1		20
		57 Misappropriation of Property, N	2		1		3
		58 Confidentiality Breach	2	7			9
		60 Dishonored Check		1			1
		62 Action by Another Board, NPC		6	3		9
		63 Reinstatement		12			12
		99 HIPDB		102	1		103
	Registered Nurse Total		99	296	87	2	484
	RN by Privilege-Discipline	01 Inability to Safely Practice		1	1		2
		02 Drug Related, Patient Care		1			1

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS
	RN by Privilege-Discipline	04 Std of Care, Surgery				1	1
		06 Std of Care, Medication/Prescription		1			1
		13 Fraud, Patient Care				1	1
		14 Action by Another Board, Patient Care		1			1
		50 Criminal Activity		2			2
		99 HIPDB		4			4
	RN by Privilege-Discipline Total			10		3	13
Nursing Total			129	705		150	989
Optometry	Optometrist	03 Abuse/Abandonment/Neglect		1			1
		05 Std of Care, Diagnosis/Treatme		2			2
		53 Fraud, Non-Patient Care		1			1
	Optometrist Total			4			4
	TPA Certified Optometrist	03 Abuse/Abandonment/Neglect		2			2
		05 Std of Care, Diagnosis/Treatme		5			5
		53 Fraud, Non-Patient Care		1			1
		54 Business Practice Issues		4			4
	TPA Certified Optometrist Total			12			12
Optometry Total				16			16
Pharmacy	Non-resident Pharmacy	54 Business Practice Issues		1			1
	Non-resident Pharmacy Total			1			1
	Pharmacist	01 Inability to Safely Practice			1		1
		02 Drug Related, Patient Care		1	2		3
		03 Abuse/Abandonment/Neglect			1		1
		05 Std of Care, Diagnosis/Treatment				1	1
		06 Std of Care, Medication/Prescri		3	1	2	9
		11 Unlicensed Activity				1	1
		13 Fraud, Patient Care			1		1
		14 Action by Another Board, Patient Care			1		1
		50 Criminal Activity			1		1
		52 Drug Related, Non-Patient Care			1		1
		53 Fraud, Non-Patient Care			4		4
		54 Business Practice Issues		4		3	7
		55 Drug Related, Security		1	1	2	4

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS
	Pharmacist	56 Compliance		1			1
		57 Misappropriation of Property, NPC		1			1
		59 Continuing Competency Req Nc	3	8			11
		62 Action by Another Board, NPC		3			3
		63 Reinstatement		4			4
		99 HIPDB		17			17
	Pharmacist Total		12	48	9	3	72
	Pharmacy	05 Std of Care, Diagnosis/Treatme	1				1
		06 Std of Care, Medication/Prescri	1		1		2
		52 Drug Related, Non-Patient Care			1		1
		54 Business Practice Issues	4	98	2		104
		99 HIPDB		98			98
	Pharmacy Total		6	196	4		206
	Pharmacy Intern	01 Inability to Safely Practice		1			1
		52 Drug Related, Non-Patient Care		1			1
		60 Dishonored Check		2			2
		99 HIPDB		3			3
	Pharmacy Intern Total			7			7
	Pharmacy Technician	01 Inability to Safely Practice		5			5
		02 Drug Related, Patient Care		12	2		14
		06 Std of Care, Medication/Prescription			1	1	2
		08 Std of Care, Exceeding Scope		3			3
		12 Misappropriation of Patient Property		1			1
		50 Criminal Activity		4	3		7
		52 Drug Related, Non-Patient Care		5	1		6
		56 Compliance		1			1
		57 Misappropriation of Property, N	1	1			2
		59 Continuing Competency Req Nc	4	34			38
		99 HIPDB		49			49
	Pharmacy Technician Total		5	115	7	1	128
	Restricted Manufacturer	60 Dishonored Check		1			1
		99 HIPDB		1			1
	Restricted Manufacturer Total			2			2

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETERMINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGATIONS	
Pharmacy Total			24	368	20	4	416	
Physical Therapy	Physical Therapist	13 Fraud, Patient Care				1	1	
		14 Action by Another Board, Patient Care		1			1	
		99 HIPDB		1			1	
Physical Therapist Total				2		1	3	
Physical Therapy Total				2		1	3	
Psychology	Clinical Psychologist	05 Std of Care, Diagnosis/Treatme	4				4	
		10 Inappropriate Relationship				1	1	
		11 Unlicensed Activity				1	1	
		54 Business Practice Issues	2				2	
		58 Confidentiality Breach	1			1	2	
	Clinical Psychologist Total		7			3	10	
	Resident in Training	61 Records Release				1	1	
	Resident in Training Total					1	1	
Psychology Total			7			4	11	
Social Work	Licensed Clinical Social Worker	01 Inability to Safely Practice				1	1	
		05 Std of Care, Diagnosis/Treatme	1			2	3	
		53 Fraud, Non-Patient Care				2	2	
		54 Business Practice Issues	1				1	
		61 Records Release				1	1	
	Licensed Clinical Social Worker Total		2			6	8	
		Licensed Social Worker	01 Inability to Safely Practice				1	1
		Licensed Social Worker Total					1	1
	Post Graduate Trainee	05 Std of Care, Diagnosis/Treatment				1	1	
	Post Graduate Trainee Total					1	1	
Social Work Total			2			8	10	
Speech Pathology/ Audiologist		05 Std of Care, Diagnosis/Treatme	1				1	
		53 Fraud, Non-Patient Care	1				1	
	Audiologist Total		2				2	
Speech Pathology/Audiology Total			2				2	
Veterinary Medicin Veterinarian		01 Inability to Safely Practice	2	1			3	
		03 Abuse/Abandonment/Neglect	9	3	3	3	18	
		04 Std of Care, Surgery	2		2	1	5	

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETER-MINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	TOTAL # of ALLEGA-TIONS			
Veterinary Medicine	Veterinarian	05 Std of Care, Diagnosis/Treatme	13	3		2	3	21		
		06 Std of Care, Medication/Prescri	2			1	1	4		
		11 Unlicensed Activity	2	3			2	7		
		50 Criminal Activity	1					1		
		51 HPMP		1				1		
		53 Fraud, Non-Patient Care	1					1		
		54 Business Practice Issues	4	2				6		
		55 Drug Related, Security		1			2	3		
		56 Compliance		1		1		2		
		58 Confidentiality Breach	1					1		
		59 Continuing Competency Req Not Met		1				1		
		61 Records Release	2					1	3	
		Veterinarian Total			39	16		9	13	77
		Veterinary Establishment - Full Service		54 Business Practice Issues	1					1
Veterinary Establishment - Full Service Total			1					1		
Veterinary Technician	Veterinary Technician	08 Std of Care, Exceeding Scope					1	1		
		11 Unlicensed Activity		1			1	2		
		59 Continuing Competency Req Not Met		5			3	8		
Veterinary Technician Total				6			5	11		
Veterinary Medicine Total			40	22	9	18	89			
Grand Total			519	1611	531	47	2708			